

## Commodity Export Classification CERTIFICATION STATEMENT

ECCNs for PTC's software products under the U.S. Export Administration Regulations (the "EAR") are as follows:

Manufacturer (name and address): PTC Inc., 121 Seaport Boulevard, Boston, MA 02210 USA

**Country of the Applicable Legislation: USA** 

PTC Product Suite	ECCN	License Exception	ITAR Controlled
Creo Product Suite	EAR99	Not Applicable	N/A
Mathcad	EAR99	Not Applicable	N/A
Windchill RV&S (formerly Integrity), MKS Toolkit and MKS Implementer	EAR99	Not Applicable	N/A
4CS	EAR99	Not Applicable	N/A
Arbortext: Editor; PE; Styler; DMP; IsoDraw; and IsoView	EAR99	Not Applicable	N/A
Codebeamer Suite	EAR99 CCATS-Z1769250	Not Applicable	N/A



PTC Product Suite	ECCN	License Exception	ITAR Controlled
ServiceMax (Core and Mobile Apps)	EAR99 CCATS-Z1795108	Not Applicable	N/A
Arbortext: S1000D and Content Manager	5D002 c.1	Exported pursuant to License Exception <b>ENC</b> to both government and non-government end users, pursuant to Section 740.17, paragraph (b)(1) of the EAR	N/A
Windchill Product Suite (includes Windchill Quality Solutions) (includes PDMLink, MDMLink and Intralink) – see Oracle Note below	5D002 c.1	Exported pursuant to License Exception <b>ENC</b> to both government and non-government end users, pursuant to Section 740.17, paragraph (b)(1) of the EAR	N/A
Service Center	5D002 c.1	Exported pursuant to License Exception <b>ENC</b> to both government and non-government end users, pursuant to Section 740.17, paragraph (b)(1) of the EAR	N/A
PTC Servigistics (Core/Server)	5D002 c.1	Exported pursuant to License Exception <b>ENC</b> to both government and non-government end users, pursuant to Section 740.17, paragraph (b)(1) of the EAR	N/A
PTC Servigistics Mobile App	5D992	Not Applicable	N/A



PTC Product Suite	ECCN	License Exception	ITAR Controlled
PTC Servigistics Mobile App	5D002 c.1	Exported pursuant to License Exception <b>ENC</b> to both government and non-government end users, pursuant to Section 740.17, paragraph (b)(1) of the EAR	N/A
<ul><li>ThingWorx Suite including:</li><li>Digital Performance Management (DPM)</li></ul>	5D002 c.1	Exported pursuant to License Exception <b>ENC</b> to both government and non-government end users, pursuant to Section 740.17, paragraph (b)(1) of the EAR	N/A
ThingWorx Global Access Server (GAS)	EAR99		N/A
ThingWorx Azure Software Content Management Module	5D992	Not Applicable	N/A
ThingWorx Azure Software Content Management Module	5D002 c.1	Exported pursuant to License Exception <b>ENC</b> to both government and non-government end users, pursuant to Section 740.17, paragraph (b)(1) of the EAR	N/A



PTC Product Suite	ECCN	License Exception	ITAR Controlled
<ul> <li>Axeda Suite, including:</li> <li>Axeda Platform</li> <li>Axeda Agent</li> <li>Axeda TotalAccess Server</li> <li>Questra Service Agent</li> <li>Axeda Artisan</li> <li>Axeda Agent Embedded Toolkit</li> <li>Axeda AnyDevice Codec Server</li> <li>Agent Simulator</li> </ul>	5D002 c.1	Exported pursuant to License Exception <b>ENC</b> to both government and non-government end users, pursuant to Section 740.17, paragraph (b)(1) of the EAR	N/A
Axeda Connected Access Client (ACA)	EAR99		
Atego: Perc Ultra and Perc Ultra SMP	5D002 c.1	Exported pursuant to License Exception <b>ENC</b> to both government and non-government end users, pursuant to Section 740.17, paragraph (b)(1) of the EAR	N/A
Atego: X32 Plus; Aonix Perc Pico; WebC; PEG+ library; Aonix ADT; and ApexAda Developer Tool	EAR99	Not Applicable	N/A
Atego: Aonix ObjectAda	5D992	Not Applicable	N/A



PTC Product Suite	ECCN	License Exception	ITAR Controlled
<b>Kepware Suite</b> (including ThingWorx Kepware Edge)	5D002.c.1	Exported pursuant to License Exception <b>ENC</b> to both government and non-government end users, pursuant to Section 740.17, paragraph (b)(1) of the EAR	N/A
Coldlight	EAR99	Not Applicable	N/A
<b>Vuforia:</b> Vuforia Cloud Recognition System (binary) and Studio	5D002.c.1	Exported pursuant to License Exception <b>ENC</b> to both government and non-government end users, pursuant to Section 740.17, paragraph (b)(1) of the EAR	N/A
Vuforia Expert Capture	EAR99		
SDK (both source and binary) and the following SDK Based Apps:  CAD Test App  Chalk App	EAR99		
Model Target Manager (hosted only offering)	EAR99		
Onshape	Not Applicable: Onshape (a SaaS tool) does not ship or transmit software for its use.	Not Applicable	N/A
Onshape 3D CAD Mobile Apps (available in iOS & Android)	EAR99		



PTC Product Suite	ECCN	License Exception	ITAR Controlled
Arena	Not Applicable: Arena (a SaaS tool) does not ship or transmit software for its use.	Not Applicable	N/A

These commodities, software, and/or technologies are exported from the United States in accordance with the Export Administration Regulations. Diversion contrary to U.S. law is prohibited.

PTC's ERN is: R103812

Harmonized Tariff Code (Schedule B) is: 8523.49.2020.

**HS Number: 852349** 

Note: old numbers include: 8523.40.4000 (pre 2017) and 8524.31.0070 (pre 2007)

Federal Supplier CAGE Code: OKOD7

DUNS: 17-574-9431

NAICS Codes: Primary: 511210

Secondary: 511210, 518210

## **COMPLIANCE STATEMENT:**

These software products are subject to all provisions of the EAR including general prohibitions for Denied Parties (Part 736, EAR), End-Use/End-User Restrictions (Part 744, EAR), Embargoed Destinations (Part 746, EAR), and Antiboycott (Part 760, EAR).



U.S. export control regulations carry severe administrative, civil, and criminal penalties. The following information is provided for informational purposes only and shall not be relied upon as legal advice.

Under United States Commerce Department regulations, the PTC software products containing encryption features are classified as "unrestricted" "5D002" encryption items eligible for export under License Exception ENC, pursuant to Section 740.17(a) and (b)(1) of the Export Administration Regulations. License Exception ENC is the most common authorization available for commercial encryption software with a primary function of information security; computing; networking; or sending, receiving or storing information.

U.S. and foreign distributors, resellers or other entities who are not original manufacturers of encryption commodities and software are permitted to use License Exception ENC for PTC software, subject to the applicable terms and conditions of License Exception ENC.

PTC software may generally be exported to both governmental and non-governmental end users worldwide, subject to limitations such as those described below.

An export license is still required for certain exports or re-exports of PTC software to (regardless of the location from which the export takes place):

- Russia, Cuba, Iran, North Korea, Syria, as well as the Crimea, Luhansk, and Donetsk regions of Ukraine.
- Any restricted parties designated on the Denied Persons, Entity, Unverified, Specially Designated National ("SDN"), or other Treasury Department of the United States restricted party lists; or to parties majority owned by one or more SDNs or other Treasury-restricted entities.
- "Specially Designated Nationals" listed at: <a href="http://www.treasury.gov/resource-center/sanctions/SDN-List/Pages/default.aspx">http://www.treasury.gov/resource-center/sanctions/SDN-List/Pages/default.aspx</a>
- "Denied Persons" and/or "Entity List" entities both lists located at: <a href="http://www.bis.doc.gov/index.php/policy-guidance/lists-of-parties-of-concern">http://www.bis.doc.gov/index.php/policy-guidance/lists-of-parties-of-concern</a>



- Entities on the Commerce Department's Unverified List should be considered to raise Red Flags requiring resolution prior to export or re-export (located at: <a href="http://www.bis.doc.gov/index.php/policy-guidance/lists-of-parties-of-concern">http://www.bis.doc.gov/index.php/policy-guidance/lists-of-parties-of-concern</a>).
- The Sectoral Sanctions Identifications (SSI) List (located at: <a href="http://www.treasury.gov/resource-center/sanctions/Programs/Pages/ukraine.aspx">http://www.treasury.gov/resource-center/sanctions/Programs/Pages/ukraine.aspx</a>).
- to parties majority owned by one or more SDNs or other Treasury-restricted entities.
- Other restricted parties that may be added by the U.S. government from time to time.
- Licensing requirements may also be triggered by certain restricted end uses, including but not limited to nuclear-related activities, missile proliferation, or chemical/biological weapons-related activities. For this reason special caution should be exercised when dealing with agencies of non-U.S. governments. Exporters should consult: "Know Your Customer" guidance and the related "Red Flags" at the Export Management & Compliance Program page of <a href="https://www.bis.doc.gov">www.bis.doc.gov</a>

## **ORACLE NOTE:**

The export classification for Oracle software that is purchased with/bundled with PTC solutions is as follows: <a href="http://www.oracle.com/us/products/export/eccn-matrix-software-412042.pdf">http://www.oracle.com/us/products/export/eccn-matrix-software-412042.pdf</a>. This information is provided by Oracle on an as-is basis.